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\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES  
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November 26, 2001

WRITER'S DIRECT NUMBER:

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Commissioner for Patents  
Washington, D.C. 20231

**Box Missing Parts**

Re: U.S. Utility Patent Application  
Appl. No. 09/844,283; Filed: April 30, 2001  
For: **Methods and Systems for Adaptive Receiver Equalization**  
Inventors: Buchwald *et al.*  
Our Ref: 1875.0560003

Sir:

In reply to the "Notice to File Missing Parts of Nonprovisional Application," dated June 26, 2001, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Petition for Extension of Time under 37 C.F.R. § 1.136(a)(1) (*in duplicate*);
2. Fee Transmittal Form (PTO/SB/17);
3. Copy of the Notice to File Missing Parts;
4. Original executed Declaration for Patent Application;
5. Thirty-two (32) sheets of substitute drawings (Figures 1-10, 11A-11B, 12, 13A-13B, 14-20, 21A-21D, and 22-30;
6. One return postcard; and

Commissioner for Patents

November 26, 2001

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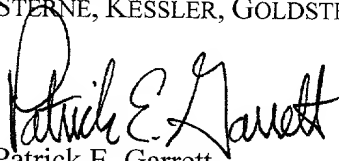
7. SKG&F check no. **33304** in the amount of **\$2060.00** to cover:
- \$740.00 filing fee for patent application;
  - \$ 18.00 fee for total claims in excess of twenty (20);
  - \$252.00 fee for independent claims in excess of three (3);
  - \$130.00 surcharge for late filing of Declaration; and
  - \$920.00 fee for extension of time.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

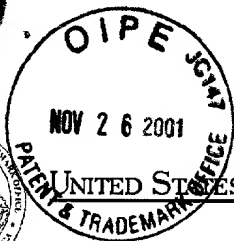
Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Patrick E. Garrett  
Attorney for Applicants  
Registration No. 39,987

PEG:mlb  
Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/844,283	04/30/2001	Aaron W. Buchwald	1875.0560003

CONFIRMATION NO. 1013

26111

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## FORMALITIES LETTER



\*OC00000006226959\*

Date Mailed: 06/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/27/2001 SFELEKE1 00000043 09844283

01 FC:101	740.00 DP
02 FC:102	252.00 DP
03 FC:103	18.00 DP
04 FC:105	130.00 DP

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is ~~\$258~~ <sup>\$270</sup>.
  - \$18 for 1 total claims over 20.
  - ~~\$240~~ <sup>\$252</sup> for 3 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is ~~\$ 1098~~ <sup>\$ 1140</sup>.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

*3/11/12*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE